

Inter-agency SP assessment ISPA

December 15th, 2014

ISPA and the WG SP strategy

From fragmented approaches to
harmonized systems

ASPIRE

What do
we know
about SP
systems?



Interagency SP
assessments
(ISPA)

How do we
move to
harmonized
SP systems?

Why is ISPA needed?

International partners do not adequately:

- coordinate their human and financial resources,
- share good practices and data, and
- align behind country led initiatives

Countries often have a set of fragmented and ad-hoc policies, programs and admin arrangements

Governments need consistent, reliable and technically strong support in

how to

move to a system of synchronized, measurable, affordable, responsive policies, programs and admin systems

Households members often experience:

- Duplication of benefits or gaps in benefits
- Uncoordinated government services
- Inefficiencies when interacting with multiple government actors or other service providers

What is ISPA?

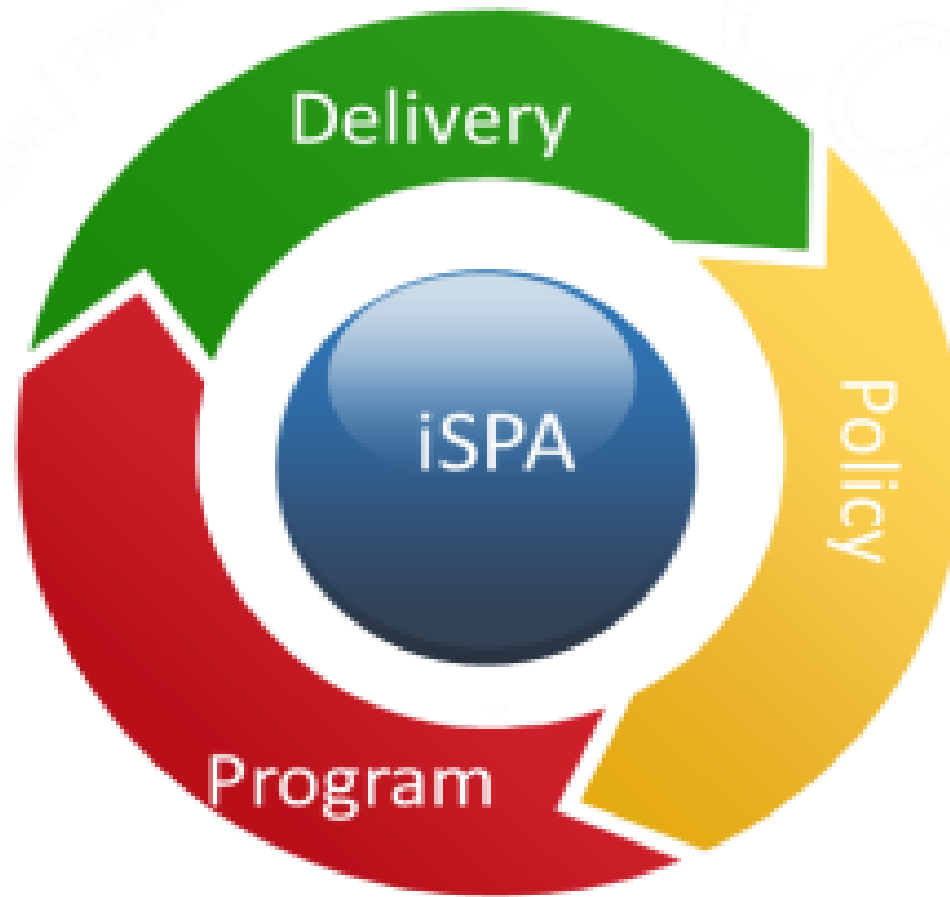
ISPA is

- ***a range of assessment tools***
- *to improve the range of informed policy and program options for clients to move from fragmented approaches to harmonized systems*
- *based on defining and assessing key system metrics and outcomes*

ISPA is an inter-agency initiative:

1. *More than 20 agencies developing/approving the tool*
2. *Confidence in quality of tool*
3. *No in-country conflicts of advice*

ISPA tools



What will ISPA do?

ISPA will help move **from fragmented approaches to harmonized systems**

Each ISPA tool:

- Recognizes improvements in design, performance and delivery of programs,
- Diagnoses a range of issues:
 - where efficiency gains can be realized,
 - what policy, program and administrative areas missing from a harmonised system
 - identifies policy, program and administrative areas duplicated in existing system
- Ensures synergies across a country's areas of SP
- Benchmarks performance within a country over time
- Facilitates in-country dialogue, ensuring that SP systems are benchmarked according to good practices and standards

What will ISPA not do?

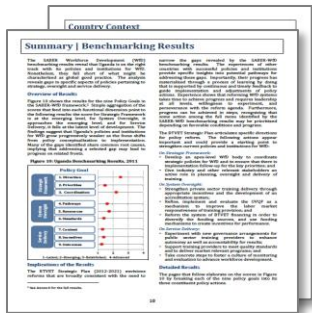
ISPA will not:

- Replace in-depth sector studies
- Rank countries
- Offer a one-size-fits-all solution

What does an ISPA tool include?

→ ISPA tools have four main elements

What Matters guidance note



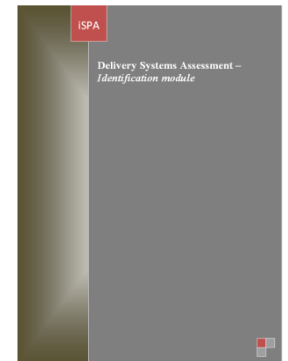
Data collection tool



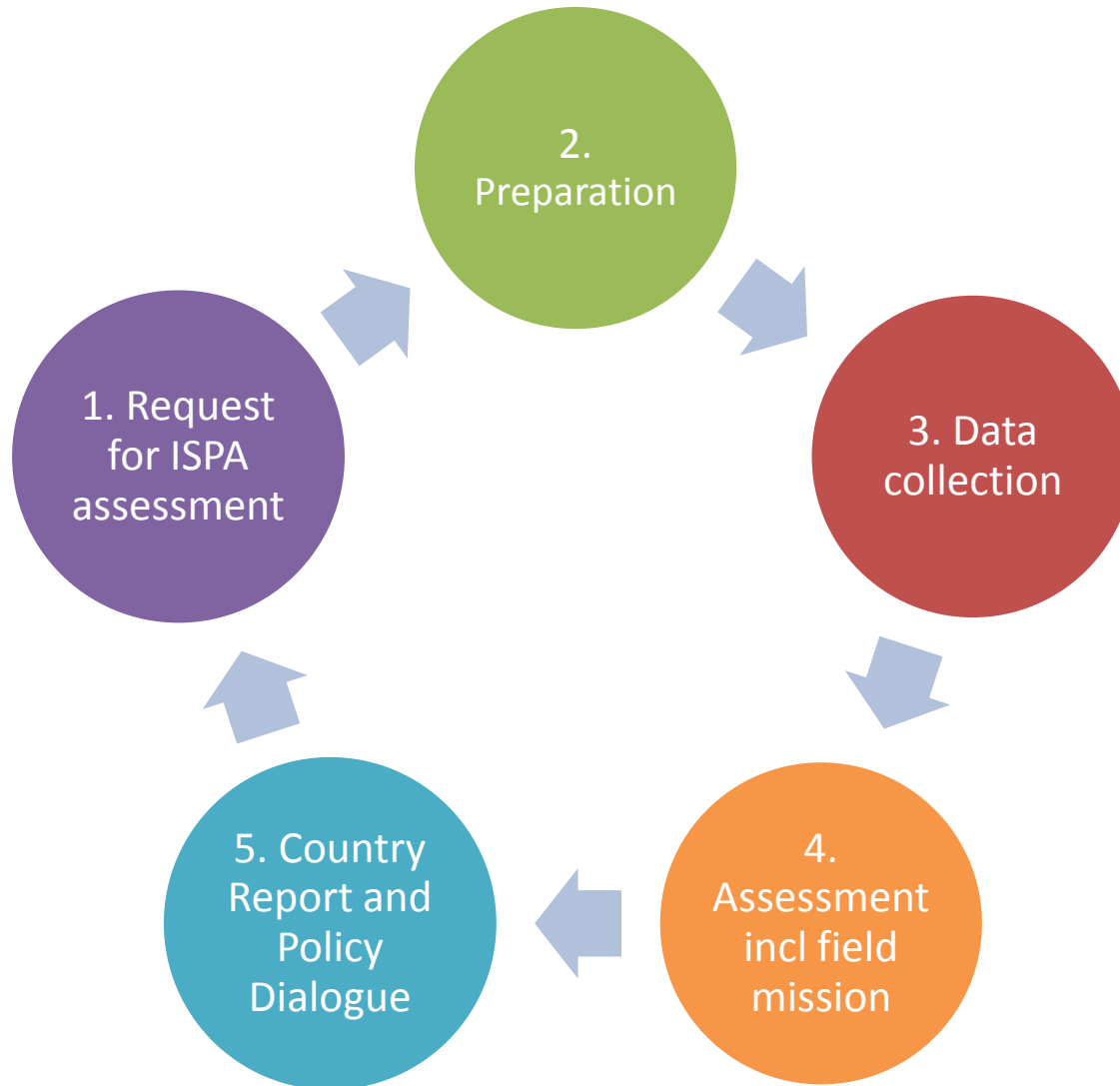
Assessment methodology



Country report



How do I use an ISPA tool?



Current status of ISPA

Tool/ Steps	Lead agency	Working Group	Reference Group	WM note	Questionnaire	Assesmt. Method.	Implement. Guidelines	Field test	Tech. Wkshp	Peer Rev.	Version 1
1. CODI	WB							 Vietnam (GIZ), Philippines (WB)		TBC	TBC
2. National Dialogue	ILO				 Based on CODI	TBC	TBC	TBD		TBC	TBC
3. ID	WB						TBC	Peru (WB), Morocco (WB+ILO)		TBC	TBC
4. Payment Systems	WB						TBC	TBD	TBC	TBC	TBC
5. MIS	WB/ISSA	TBC	TBC	TBC	TBC	TBC	TBC	TBD	TBC	TBC	TBC
6. Registries	WB	N.A.	N.A.	TBC	TBC	TBC	TBC	TBD	TBC	TBC	TBC
7. Public Works	WB						N.A.	El Salvador and Liberia			
8. Youth Wage Employment Tool	WB	N.A.	N.A.				TBC	No info available.	TBC	TBC	TBC
9. Cash transfers including child grants	WB/UNICE F/SC	No information available									
10. Pensions	ILO	No information available									
11. Disabilities	GIZ	No information available									
12. Social health protection	GIZ	TBC	TBC	TBC	TBC	TBC	TBC	TBC	TBC	TBC	TBC
13. Food security	FAO	No information available									

Country Applications: Status as of December 2014



■ Pilot

**An illustration of the process for ISPA
assessments:**

**The pilot of the Core Diagnostic
Instrument (CODI) in the Philippines**

What is CODI?

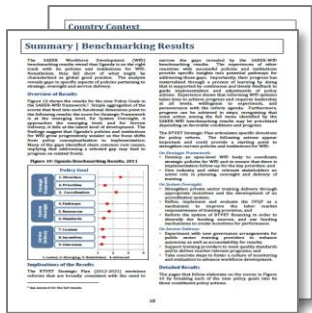
The Core Diagnostic Instrument (CODI) seeks to **provide a broad, overall assessment of the policies, programs, and administrative arrangements of the social protection system.**

CODI assesses system performance based on 10 criteria:

1. Inclusiveness
2. Adequacy
3. Appropriateness
4. Respect for rights and dignity
5. Governance and institutional capacity
6. Financial and fiscal sustainability
7. Coherence and integration
8. Responsiveness
9. Cost effectiveness
10. Incentive compatibility

What is CODI?

What Matters
guidance
note



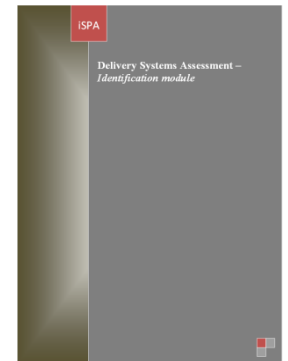
Data
collection
tool



Assessment
methodology



Country
report



How is CODI being piloted in the Philippines?

Rapid Assessment of Philippines Social Protection System



Request for
ISPA
assessment



Preparation



Data
collection



Assessment
(including
field
mission)

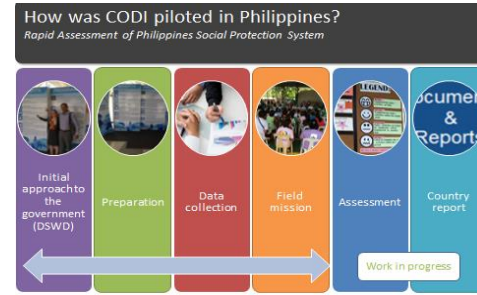


Country
report

Work in progress

1. Request for ISPA assessment

Request



- Meeting with Dept Social Welfare Development Secretary and DPs:
 - ✓ Tool
 - ✓ Inter-agency nature of pilot
 - ✓ Focal point
 - ✓ Preliminary identification of SP programs being assessed.
 - ✓ Definition of dates for the field mission
 - ✓ Identification of stakeholders

2. Preparation

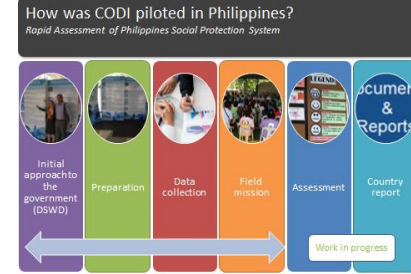
Preparatory activities



- Establishment of Assessment team - DSWD, NEDA, WB, ILO, GIZ, and EC – and agreeing stakeholders
- Rapid literature review of available reports and studies on SP system in the Philippines
- Identification of key respondents for bilateral meetings
- Preparation of field mission
 - ✓ Logistics,
 - ✓ Agenda
 - ✓ Schedule bilateral meetings with key SP authorities and other stakeholders
 - ✓ Site visits (including meetings with benef. + subnational authorities)

3. Data collection

Pre-population of CODI questionnaire



MODULE 1: SP POLICY

The objective of this module is to help understand basic features of SP policy, including regulatory and legislative framework, strategy and objectives, institutional implementation and coordination, capacity to design and carry out policies, public spending and sources of financing and the state of data monitoring and evaluation. This is centered on six main areas: (i) legislative framework, strategy and objectives, ii) alignment of policies with population SP needs, iii) SP policy making process, iv) public spending and financing; and (v) implementation monitoring and evaluation and data systems.

Objective

A. LEGISLATIVE FRAMEWORK, STRATEGY AND OBJECTIVES

A.1	Legislative Framework	Response	Comments	Source	Relevant assessment Criteria/ Questions	Link with existing data collection tools (SSI, APIRE etc.)
1	What are the key laws and other acts regulating SP policies and their financing and implementation? (Please list and provide text or link)	Text				
2	General provisions - i.e. laws setting out the overall objectives and the types and schemes and programs that form part of the SP system (Constitutional Law, Social Security Law, Labour Codes, etc.)			Ministry	Rights and Dignity, Coherence and Integration	
3	Specific laws by function (i.e. laws containing benefit parameters - range, qualifying conditions, benefit levels, etc...)			Ministry	Rights and Dignity, Adequacy, Inclusiveness	
	*Poverty and social exclusion					
	*medical care					
	*Children/ family					
	*Maternity					
	*Sickness					
	*Unemployment					
	*Employment injury					
	*Disability					
	*Survivors					
	*Old-age					
	*Housing					
	*ALMPs					
4	Laws related to the implementation, administration, financing and delivery (including accountability mechanisms and relevant bodies/actors)			Ministry	Rights and Dignity, Governance	
5	Laws related to monitoring and reporting mechanisms			Ministry	Rights and Dignity, Governance	
6	Laws related to complaint and appeal procedures					
7	Laws related to enforcement and compliance mechanisms					
8	Laws related to the protection and security of private individual information					
9	Are the rights to social protection/social security protected by the Constitution?					
10	To what extent is there a sound overall regulatory framework that minimizes inefficiencies, mismanagement, fraud and misuse and holds persons responsible for financial management responsible for their acts and decisions? - Elaborate					
11	Is the right to equality and non-discrimination stipulated in respect to social protection?			Law	Rights and Dignity, Adequacy	
12	Are there any provisions in the law that restrict the right to social protection?			Law	Rights and Dignity, Inclusiveness	
13	Are their policies, including budgetary policies that favour specific groups? If so which and why?			Analysis policies / budget	Rights and Dignity, Inclusiveness	

Sub-modules

Space for descriptive comments

Links to Assessment table

Open and Closed-ended questions explained within the WM note

4.
Assessment
incl field
mission

10 days field mission:

- **Kick off meeting** with relevant stakeholders
- **Bilateral meetings** with central government officials/international organizations and private sector
- **Workshop with regional directors DSWD**
- **Site visits** to Cavite province
- **Meeting with Major of Carmona**



Picture: Meeting with representatives from SSS, May 26nd.



Pictures: Participation in City Advisory Committee and visit to Pantawid beneficiary families (Calabarzon), May 27th



Pictures: Meeting with representatives from TESDA, May 22nd.



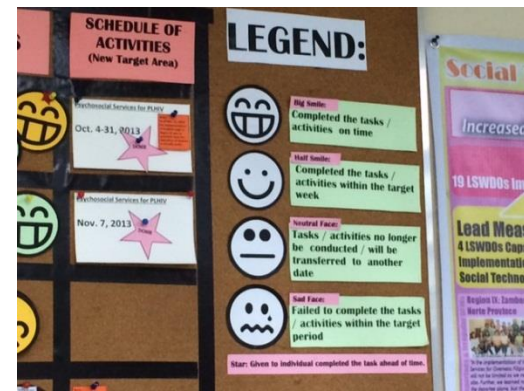
Pict.: Meeting with Mrs. Dahlia Loyola, mayor of Carmona, May 27th.

4.
Assessment
incl field
mission

Assessment

- Populating the assessment tables
- Multi-stakeholder meeting with *preliminary* findings
- Series of multi-stakeholder workshops (government, CSO, development partners, etc.) led by DSWD, NEDA and MOL to discuss the assessment and suggest policy options.

	Red	Orange	Yellow	Green
Inclusiveness				
Adequacy				
Appropriateness				



Development of policy options

- Work in progress...expected completion date Q1 – 2015
- Country Report - Basic outline:
 1. Context
 2. State of the SP sector
 3. System assessment and benchmarking
 4. Way forward



Next steps

Existing instruments

- Roll out – need to identify opportunities to apply tools
- Exchange information + efforts with other iSPA organizations for in-country work
- Market iSPA tools

New instruments

- Participate in developing iSPA tools, including field tests
- Suggesting new tools that should be part of iSPA

Training

- Develop training (and sensitization) strategy
- Let us know about regional initiatives where iSPA tools may be useful

How can I find out more?

- Identify where ISPA tools may be useful for your country needs
- Contact WB or other ISPA development partners country offices for more information about ISPA or to express your interest to apply the tools in your country
- Participate in forthcoming training events
- Soon visit the ISPA website
- Contact us!

ISPA Coordination Desk Washington

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Thank you