

## **Notice of Uncontested Sanctions Proceedings**

**Sanctions Case No. 689**  
**IBRD Loan Number 79850-CO**  
**(Colombia Río Bogotá Environmental Recuperation and Flood Control Project)**

**Respondent:**  
**Ms. Gloria Beatriz Giraldo Laverde**

1. On April 6, 2023, the World Bank’s Chief Suspension and Debarment Officer (the “SDO”) issued a Notice of Sanctions Proceedings (the “Notice”) to Ms. Gloria Beatriz Giraldo Laverde (the “Respondent”) pursuant to sub-paragraph 4.01(a) of Section III.A of the Bank Procedure: Sanctions Proceedings and Settlements in Bank Financed Projects issued by the Bank on June 28, 2016 (the “Sanctions Procedures”).
2. The Statement of Accusations and Evidence (the “SAE”) prepared by the Bank’s Integrity Vice Presidency (“INT”) and appended to the Notice contained INT’s accusation that the Respondent engaged in Sanctionable Practices (as defined in the Sanctions Procedures) in connection with the above-named project (the “Project”). The SAE also contained the evidence gathered by INT in support of this accusation.
3. The specific accusation made by INT in the SAE was that the Respondent engaged in collusive practices by (i) participating in an arrangement between two companies to improperly provide one of the two participating companies with access to confidential documents, in relation to a flood control works contract under the Project, and (ii) participating in another agreement with three companies to improperly influence the drafting of bidding documents for a waste water treatment plant works contract under the Project.
4. Based on a review of INT’s SAE conducted in accordance with sub-paragraph 4.01(a) of Section III.A of the Sanctions Procedures, and pursuant to sub-paragraph 4.01(c), sub-paragraph 9.01, and sub-paragraph 9.04 of Section III.A of the Sanctions Procedures, with due consideration of the factors set forth in sub-paragraph 9.02 of Section III.A of the Sanctions Procedures and in the World Bank Group Sanctioning Guidelines, the SDO recommended in the Notice that the Respondent, together with certain Affiliates (as defined in the Sanctions Procedures) where so specified, be sanctioned as follows:

*Gloria Beatriz Giraldo Laverde*  
(“Ms. Giraldo”)

***Recommended Sanction: Debarment with Conditional Release***  
***Minimum Period of Ineligibility of Two (2) Years and Four (4) Months***

*It is recommended that Ms. Giraldo, together with any entity that is an Affiliate directly or indirectly controlled by Ms. Giraldo, including but not limited to Inversiones Amber S.A.S., be declared ineligible (i) to be awarded or otherwise benefit from a Bank-financed contract, financially or in any other manner;<sup>1</sup> (ii) to be a nominated<sup>2</sup> sub-contractor, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-Financed Project; provided, however, that after a minimum period of ineligibility of two (2) years and four (4) months, Ms. Giraldo may be released from ineligibility only if Ms. Giraldo has, in accordance with sub-paragraph 9.03 of Section III.A of the Sanctions Procedures, demonstrated to the Bank Group’s Integrity Compliance Officer that Ms. Giraldo has complied with the following conditions:*

- (a) Ms. Giraldo has taken appropriate remedial measures to address the Sanctionable Practices for which Ms. Giraldo has been sanctioned;*
- (b) Ms. Giraldo has completed training and/or other educational programs that demonstrate a continuing commitment to personal integrity and business ethics; and*
- (c) Any entity that is an Affiliate directly or indirectly controlled by Ms. Giraldo has adopted and implemented, in a manner satisfactory to the Bank, integrity compliance measures as may be imposed by the Bank Group’s Integrity Compliance Officer pursuant to sub-paragraph 9.03(b) of Section III.A of the Sanctions Procedures*

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<sup>1</sup> *For the avoidance of doubt, the declaration of ineligibility to be awarded a contract will include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.*

<sup>2</sup> *A nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider (different names are used depending on the particular bidding document) is one which has been (i) included by the bidder in its pre-qualification application or bid because it brings specific and critical experience and know-how that allow the bidder to meet the qualification requirements for the particular bid or (ii) appointed by the borrower.*

*(e.g., an integrity compliance program or elements thereof) to address the Sanctionable Practices.*

*In determining this recommended sanction, the SDO took into account, as aggravating factors, (i) Ms. Giraldo's repeated pattern of collusive practices and (ii) Ms. Giraldo's central role in the misconduct. Additionally, the SDO also considered, as mitigating factors, (i) INT's representations as to the extent of Ms. Giraldo's cooperation during the course of the investigation, noting in particular that Ms. Giraldo agreed to meet with INT and provided certain documents to INT; (ii) Ms. Giraldo's participation in limited integrity compliance training; (iii) Ms. Giraldo's voluntarily restraint from participating in Bank-financed projects since December 2020; and (iv) the passage of time since Ms. Giraldo's misconduct and the Bank became aware of it. The SDO did not apply any additional aggravating or mitigating factors.*

*This declaration of ineligibility will extend across the operations of the World Bank Group, including IFC, MIGA, and the guarantee and carbon finance operations of the Bank.<sup>3</sup> The Bank will also provide notice of this declaration of ineligibility to the other multilateral development banks ("MDBs") that are party to the Agreement for Mutual Enforcement of Debarment Decisions (the "MDB Cross-Debarment Agreement") so that they may determine whether to enforce the declarations of ineligibility with respect to their own operations in accordance with the MDB Cross-Debarment Agreement and their own policies and procedures.<sup>4</sup>*

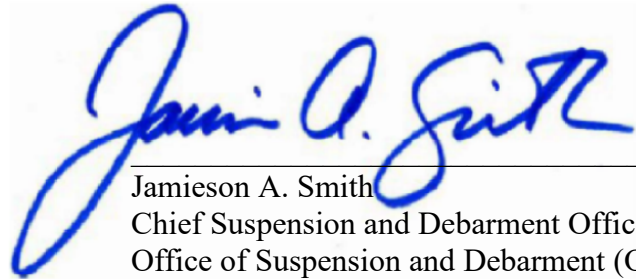
5. In accordance with sub-paragraph 4.02(b) of Section III.A of the Sanctions Procedures, the Respondent submitted a written Explanation (as defined in the Sanctions Procedures). After consideration of the arguments and evidence presented by INT in the SAE appended to the Notice and the arguments and evidence presented in the Respondent's Explanation, the SDO determined that there was no basis for (i) a withdrawal of the Notice pursuant to sub-paragraph

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<sup>3</sup> *Sanctions Procedures, . . . sub-paragraph 9.01(c) of Section III.A. For the avoidance of doubt, the declaration of ineligibility also extends to activities financed through trust funds administered by the Bank to the extent governed by the Bank's Procurement Regulations (or either of the Regulations' predecessor documents, the Procurement Guidelines and Consultant Guidelines) or Anti-Corruption Guidelines. Id., sub-paragraph 1.01(c)(i) of Section III.A.*

<sup>4</sup> *At present, the parties to the MDB Cross-Debarment Agreement are the Bank Group, the African Development Bank Group, the Asian Development Bank, the European Bank for Reconstruction and Development, and the Inter-American Development Bank Group. The MDB Cross-Debarment Agreement provides that, subject to the prerequisite conditions set forth in the MDB Cross-Debarment Agreement, unless a participating MDB (i) believes that any of the prerequisite conditions set forth in the MDB Cross-Debarment Agreement have not been met or (ii) decides to exercise its rights under the "opt out" clause set forth in the MDB Cross-Debarment Agreement, each participating MDB will promptly enforce the debarment decisions of the other participating MDBs.*

- 4.03( a)(i) of Section III.A of the Sanctions Procedures; or (ii) a revision of the recommended sanction pursuant to sub-paragraph 4.03(a)(ii) of Section III.A of the Sanctions Procedures
6. Sub-paragraph 4.04 of Section III.A of the Sanctions Procedures provides that if a respondent does not contest the accusation or the sanction recommended by the SDO in a Notice of Sanctions Proceedings by submitting a Response (as defined in the Sanctions Procedures) to the World Bank Group Sanctions Board (the “Sanctions Board”) within ninety (90) days after delivery of such Notice of Sanctions Proceedings, the sanction recommended by the SDO shall enter immediately into force.
  7. No Response having been submitted to the Sanctions Board by the Respondent within the specified period, INT’s accusation in the SAE and the sanction recommended by the SDO in the Notice are deemed uncontested for purposes of sub-paragraph 4.04 of Section III.A of the Sanctions Procedures, and the recommended sanction set forth in paragraph 4 above has entered into force as of July 20, 2023.



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Jamieson A. Smith  
Chief Suspension and Debarment Officer  
Office of Suspension and Debarment (OSD)  
The World Bank