

**THE FOURTH PARTICIPATORY  
POVERTY ASSESSMENT  
PROGRESS REPORT**

**BY**

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## **(a) Introduction**

- Participatory Poverty Assessment is a process used by policy researchers to collect qualitative information on poverty from the perceptions of the poor people themselves.
- The process allows people to speak for themselves, while encouraging them to articulate their own solutions to their problems by a genuinely inclusive approach to the participatory dialogue.

- The Poor people think through what their experiences are and suggest for actions which can raise their levels of well being – actions by the individuals and communities themselves, and by local and national public authorities.
- The need to carry out the fourth participatory poverty assessment was mooted in may 2004 to complement the findings of the Quantitative (KIHBS) survey from the qualitative point of view and hence enrich the final output of the two surveys.
- The PPA-IV initiative will follow the generally accepted participatory procedures in assessing poverty in Kenya

- The PPA-IV has been designed to be closely linked with the forthcoming KIHBS, so as to generate a rich quantitative-qualitative data set on poverty. The poverty diagnostic will be centred on a better understanding of poverty dynamics and the identification of effective public actions to reduce poverty will emerge from this better understanding of past poverty dynamics.
- Hence, to have this program implemented a number of consultative meetings by PPA-IV technical team and key stake holders have been taking place to review specific terms for this exercise and forge the way forward.

# PPA-IV Objectives

- To gain deeper understanding of poverty in Kenya based upon the perceptions of the people themselves especially the poor.
- To help establish a poverty analysis and monitoring by incorporating the perceptions of the poor and non-poor Kenyan;
- To provide a richer data set on poverty dynamics in Kenya while assessing the extent to which households move in and out poverty over time

- To develop further a process through which Kenyans can engage in a dialogue with local and national policy makers influencing public policy and public actions
- To identify and prioritize effective public actions would that support poor communities in their escape from poverty focusing on the delivery of public services and to provide into IP-ERS process
- To integrate the respective contribution approaches in the M&E strategy for Kenya. As for the Kenya integrated household budget survey, it was noted that it will capture a number of issues from the expenditure point of

view (quantitative) so as to determine poverty levels, CPI and National Accounts:

It was agreed that the objectives of PPAIV are very precise, clear and if achieved, will go along way in complementing the results of the KIHBS

## **Current Status of PPAIV.**

The PPA-IV has now been merged with the KIHBS under one steering committee but will be managed by technical committee coordinated by the Department of Human Resources and Social Services to coordinate the implementation of the two exercises.

- **Sample Design**

- Under PPAIV poverty incidences, agro-ecological zones and access markets were used as the criteria for sampling, and 18 districts have been sampled. Four clusters, one urban and 3 rural (except for Nairobi and Mombasa which are purely urban) were selected in each district guided by the KIHBS sample and hence making a total of 72 clusters. Under KIHBS, all Districts in the country will be covered with about 1,300 clusters targeting 13000 households

The selection of 16 districts (except Nairobi and Mombasa) from the strata was probabilistic and hence there was no preference for any particular district among them. The probability proportional to size (pps) method was used to select the district.

# Sampled Clusters and their Geographical Locations

| Province | District  | Cluster                                          |
|----------|-----------|--------------------------------------------------|
| Central  | Kirinyaga | Ndundu<br>Ragoi<br>Kiangai Lower<br>Kianyaga 'C' |
|          | Nyeri     | Mugumoini<br>Kariguini<br>Rathuthi<br>Ngomongo   |

# Sampled Clusters Cont.

|         |          |                                                            |
|---------|----------|------------------------------------------------------------|
| Eastern | Tharaka  | Iriani<br>Kamathu 'B'<br>Mpuku<br>Kibumbu                  |
|         | Marsabit | Jaldesa<br>Elbogi/Elgade<br>Abdala<br>Gampicha<br>Township |

Eastern Cont.

Makueni

Matuyu "B"

Makanda

Kika

Emali town

# Sampled Clusters Cont.

|        |               |                                                                                  |
|--------|---------------|----------------------------------------------------------------------------------|
| Nyanza | Kisii Central | Nyangonda<br>Kanyimbo<br>Mwasebwengi li<br>Jogoo(prisons)                        |
|        | Migori        | Pith JojeA/Pith<br>Joje B<br>Otacho<br>'A"/Nyakrombe<br>Lwanda<br>Staff Quarters |

## Sampled Clusters Cont.

|              |        |                                                                       |
|--------------|--------|-----------------------------------------------------------------------|
| Nyanza Cont. | Kisumu | Kokelo<br>Ober<br>Kanyakraro<br>Manyatta 'A'                          |
| Rift Valley  | Nandi  | Cheptuiywa/<br>Kipkei<br>Kaptebengwo<br>Kapchebosei<br>Showgrownd 'B' |

|                   |          |                                                                                     |
|-------------------|----------|-------------------------------------------------------------------------------------|
| Rift Valley Cont. | Marakwet | Chebiemit Centre<br>Kapkata/Chelach<br>Kaisakat/<br>kakisokat/Kerep<br>Kapsowar 'A' |
|                   | Laikipia | Nturukuma Pri.<br>School/Kenya F<br>Makutano<br>Ade Farm<br>Starehe                 |

# Sampled Clusters Cont.

|         |         |                                                         |
|---------|---------|---------------------------------------------------------|
| Western | Butere  | Ebukhoko<br>Eshiyekwe<br>Eshiolela<br>Mushisere         |
|         | Bungoma | Ngwelo<br>Sigulu 'A'<br>Khapala/<br>Namboboto<br>Maduwa |

# Sampled Clusters Cont.

|       |            |                                                     |
|-------|------------|-----------------------------------------------------|
| Coast | Kilifi     | Roka<br>Ngombeni<br>Mwapuka<br>Kanamai              |
|       | Tana River | Gelmadhi<br>Matanya<br>Chira<br>Hola Mission<br>'C' |

|               |         |                                                                 |
|---------------|---------|-----------------------------------------------------------------|
| Coast Cont.   | Mombasa | Kitaruni-<br>Jerusalem<br>Wayani<br>Bomani<br>Mukumaa/<br>Funda |
| North/Eastern | Wajir   | Jay<br>Quadama<br>Dadalem<br>Kenya Power<br>Estate              |

# Sampled Clusters Cont.

|         |         |                                                |
|---------|---------|------------------------------------------------|
| Nairobi | Nairobi | Thome V<br>Southlands<br>Kasabuni<br>Village 2 |
|---------|---------|------------------------------------------------|

# Survey Instruments

The PPAIV has drawn its survey instruments from three sources namely:

- Previous PPAS
- KePIM exercise
- Stages of progress methodology.

The checklist focusing on poverty diagnostics and dynamics and five policy areas has already been completed and can now be used for pilot survey before commencement of actual fieldwork. A PPAIV manual will be developed to guide the researchers while carrying out the survey. Each team will cover one district in one region.

- At the District level each team will recruit two facilitators and two research assistants. The district will therefore be composed of 6 officials.
- With the guidance of checklist and the manual the data collection process will begin with poverty diagnostics and dynamics. This will then be followed by assessment of the impact of policy/service delivery areas.
- The third stage will entail writing of the site reports. After completing the first site in the district, the researchers will move on to the next site. After the fourth site, the researchers from the headquarters will move on to the next region.

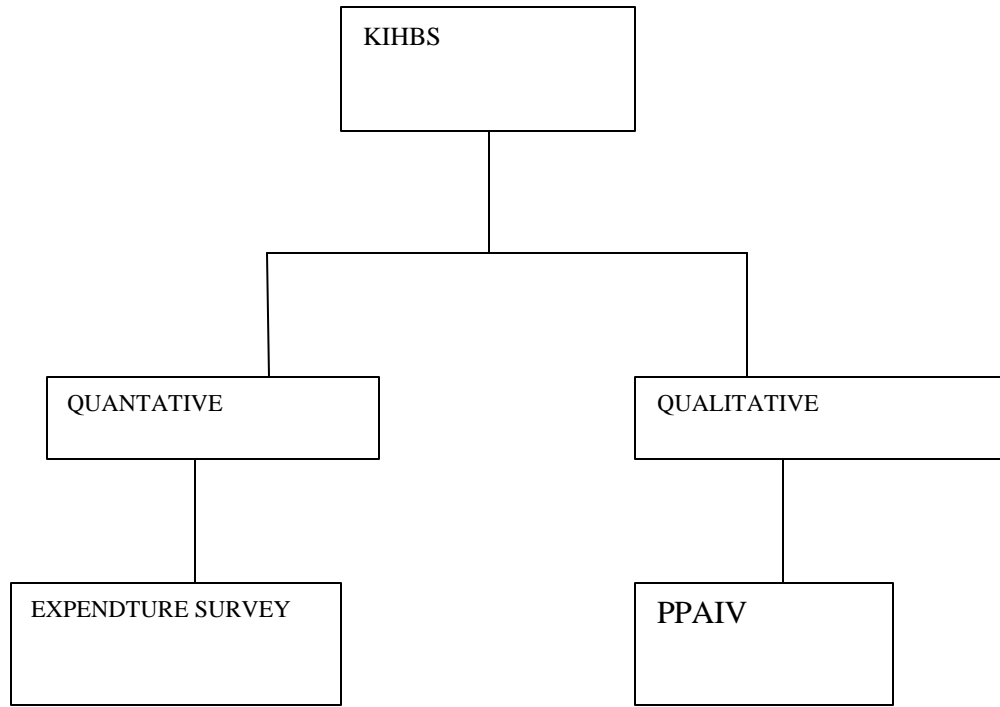
# Data collection process

- The PPAIV will be conducted in 5 phases. The first four phases will be composed of four districts each while the fifth phase will be composed of the two urban districts.
- There will be four teams from the headquarters comprising two researchers each that are knowledgeable in the PPAIV methodology.

# Period of coverage

- Preparatory work for the PPAIV has already commenced. In the month of February 2005, PPAIV activities will include finalizing the literature review of selected policies, the PPA-IV documentation sheet or the checklist. The field manual is in the process of being finalized and a follow up process of recruiting researchers, research assistants, team leaders their training and pre-testing of the instruments before fieldwork activities now scheduled to commence in April 2005.

- During field surveys PPAIV will be done for a period of four months while analysis and designation of its findings will be done afterwards.
- The KIHBS will attempt to capture seasonality by running throughout the year. It has been noted that a pilot exercise for KIHBS has been conducted and data is currently being analyzed
- **Institutional Arrangement**
- PPAIV is now part of KIHBS focusing on qualitative data as the structure below



# This arrangement will then ensure the following:

- Existence of one steering committee for both the KIHBS and PPAIV. However, the two exercise will maintain separate technical committees
- Sharing of certain user facilities such as vehicles particularly when in common places in the Districts.
- Harmonization of unit cost of activities (e.g. allowances of the researchers, team leaders etc.)

- Ensure that procedures and regulations for expenditures are strictly and commonly adhered to since it is the same donors funding the two assignments.

## **(C)The Budget**

The budget for PPA-IV has already been worked out and is as follows

| <b>Activities</b> | <b>Resource Requirement</b> |            |
|-------------------|-----------------------------|------------|
|                   | <b>Kshs</b>                 | <b>USD</b> |

### **(a)Preparatory Stage**

Literature review on pro-Policy Status and

|                                                                                                 |            |        |
|-------------------------------------------------------------------------------------------------|------------|--------|
| Research Topics<br>And preparation on<br>The manual<br>retreat to finalize the<br>Documentation | 1,000,000* | 12,500 |
| Printing of Survey Instruments<br>And acquisition of stationery<br>And equipment                | 4,000,000* | 5,000  |
| Sub-Total                                                                                       | 2,360,000* | 29,500 |
|                                                                                                 | 3,760,000  | 47,000 |

### **(b) Field and Data Analysis Stage**

|                                |           |        |
|--------------------------------|-----------|--------|
| Pliot Survey                   | 2,023,600 | 25,295 |
| Training of co-ordinators      | 738,900   | 9,236  |
| Recruitment of Research Assts. | 4,138,200 | 51,728 |

|                                   |                   |                |
|-----------------------------------|-------------------|----------------|
| Data collection and Quality       | 12,045,100        | 150,564        |
| Data analysis and Report Writing  | 1,650,000         | 20,625         |
| Dissemination                     | 1,500,000         | 18,750         |
| Integrated KIHBS and PPAIV report | 1,900,000         | 23,750         |
| <b>(c) Technical Assistance</b>   |                   |                |
| <b>Budget</b>                     | <b>16,731,440</b> | <b>209,143</b> |
| <b>Grand Total for a,b,and c</b>  | <b>45,315,240</b> | <b>566,440</b> |

**Exchange Rate 1US\$= Ksh.80**

\*GTZ Funded

- The required resources to implement PPA-IV activities adds to Kshs 45.3 million

## **(f) The Way Forward**

The PPA-IV activities have been planned as follows:

- February 2005
- Finalization of both the policy review and holding of a retreat to prepare the PPA-IV checklist.

March 2005

- Recruitment of researchers, research assistants, team – leaders and their training, undertaking pilot surveys.

- September 2005 - Preparation of PPA draft report and Peer review
- October 2005 - Preparation of PPA final report.
- November –
- December 2005 - PPAIV Dissemination Workshop
- January-March 2006 - Linking PPAIV with other priority initiatives
- April 2006 - Integrating PPAIV with KIHBS

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